

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	Not Yet Assigned 10/810,632
Filing Date	Herewith 3-26-2004
First Named Inventor	Ting Wang
Art Unit	Not Yet Assigned 2874
Examiner Name	Not Yet Assigned Ullah
Attorney Docket Number	03027

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Aullah	A	US- 4,861,136		Stone et al.	
	B	US- 2002/0186434 A1	12-02-2002	Roorda et al.	
	C	US- 2003/0185565 A1	10-02-2003	Wang et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	<sup>6</sup>

Examiner  
Signature

Akm E. Ullah

Date  
Considered

01-18-2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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		Examiner Name	Not Yet Assigned Ullah
Sheet 2 of 2	Attorney Docket Number	03027	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
Aullah	D	Borella, M.S. et al., "Optical Components for WDM Lightwave Networks", Proceedings of the IEEE, vol. 85, no. 8, August 1997	
	E	Elmirghani, J.M.H. et al., "Technologies and Architectures for Scalable Dynamic Dense WDM Networks", IEEE Communication Magazine, February 2000	
	F	Sadot, D. et al., "Tunable Optical Filters for Dense WDM Networks", IEEE Communications Magazine, December 1998	
	G	Dutton, H.J.R. "Understanding Optical Communications", pp. 149-56 and 205-61, September 1998	
	H	Hibino, Y., "An Array of Photonic Filtering Advantages", Circuits and Devices, IEEE November 2000	

Examiner Signature	Akm E. Ullah	Date Considered	01-18-2006
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